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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant(s) : Rai et al.  
Serial No. : 10/034,852  
Filed : December 28, 2001  
Title : COATING SOLUTIONS FOR TITANIUM  
AND TITANIUM ALLOY MACHING  
Docket : UNI 0041 PA  
Confirmation No. : 9645

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

**CERTIFICATE OF MAILING**  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 14, 2002.

James E. Beyer

Reg. No. 39,564

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Pursuant to the Notice to File Missing Parts, mailed January 30, 2002 in the above-identified application, enclosed is a properly signed declaration in compliance with 37 CFR §1.63 identifying the application by the above Serial Number and Filing Date, and the return copy of the Notice.

Also enclosed is a check in the amount of \$786.00 as set forth in 37 CFR §1.16(e).

Respectfully submitted,  
KILLWORTH, GOTTMAN, HAGAN  
& SCHAEFF, L.L.P.

By

James E. Beyer  
Registration No. 39,564

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One South Main Street, Suite 500  
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JEB/mjt  
Encls.

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**OFFICE TRANSMITTAL**  
**for FY 2002**

MAR 25 2002  
PATENT & TRADEMARK OFFICE  
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 786.00)

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/034,852        |
| Filing Date          | December 28, 2001 |
| First Named Inventor | Amarendra K. Rai  |
| Examiner Name        |                   |
| Group Art Unit       | 3682              |
| Attorney Docket No.  | UNI 0041 PA       |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |  |
|------------------------|--|
| Deposit Account Number |  |
| Deposit Account Name   |  |

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code (\$)            | Fee (\$) | Fee Code (\$) | Fee (\$) | Fee Description        | Fee Paid |
|--------------------------|----------|---------------|----------|------------------------|----------|
| 101                      | 740      | 201           | 370      | Utility filing fee     | 370.00   |
| 106                      | 330      | 206           | 165      | Design filing fee      |          |
| 107                      | 510      | 207           | 255      | Plant filing fee       |          |
| 108                      | 740      | 208           | 370      | Reissue filing fee     |          |
| 114                      | 160      | 214           | 80       | Provisional filing fee |          |
| SUBTOTAL (1) (\$ 370.00) |          |               |          |                        |          |

## 2. EXTRA CLAIM FEES

| Total Claims       | 45 | Extra Claims | Fee from below | Fee Paid |
|--------------------|----|--------------|----------------|----------|
| Independent Claims | 6  | -20** = 25   | x 9            | = 225.00 |
| Multiple Dependent |    | - 3** = 3    | x 42           | = 126.00 |

Large Entity Small Entity

| Fee Code (\$)            | Fee (\$) | Fee Code (\$) | Fee (\$) | Fee Description  |
|--------------------------|----------|---------------|----------|--|
| 103                      | 18       | 203           | 9        | Claims in excess of 20                                     |
| 102                      | 84       | 202           | 42       | Independent claims in excess of 3                          |
| 104                      | 280      | 204           | 140      | Multiple dependent claim, if not paid                      |
| 109                      | 84       | 209           | 42       | ** Reissue independent claims over original patent         |
| 110                      | 18       | 210           | 9        | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$ 351.00) |          |               |          |  |

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Code (\$)            | Fee (\$) | Small Entity Code (\$) | Fee (\$) | Fee Description  | Fee Paid                |
|-----------------------------------|----------|------------------------|----------|--|-------------------------|
| 105                               | 130      | 205                    | 65       | Surcharge - late filing fee or oath  | 65.00                   |
| 127                               | 50       | 227                    | 25       | Surcharge - late provisional filing fee or cover sheet                     |                         |
| 139                               | 130      | 139                    | 130      | Non-English specification  |                         |
| 147                               | 2,520    | 147                    | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |                         |
| 112                               | 920*     | 112                    | 920*     | Requesting publication of SIR prior to Examiner action                     |                         |
| 113                               | 1,840*   | 113                    | 1,840*   | Requesting publication of SIR after Examiner action                        |                         |
| 115                               | 110      | 215                    | 55       | Extension for reply within first month                                     |                         |
| 116                               | 400      | 216                    | 200      | Extension for reply within second month                                    |                         |
| 117                               | 920      | 217                    | 460      | Extension for reply within third month                                     |                         |
| 118                               | 1,440    | 218                    | 720      | Extension for reply within fourth month                                    |                         |
| 128                               | 1,960    | 228                    | 980      | Extension for reply within fifth month                                     |                         |
| 119                               | 320      | 219                    | 160      | Notice of Appeal   |                         |
| 120                               | 320      | 220                    | 160      | Filing a brief in support of an appeal                                     |                         |
| 121                               | 280      | 221                    | 140      | Request for oral hearing   |                         |
| 138                               | 1,510    | 138                    | 1,510    | Petition to institute a public use proceeding                              |                         |
| 140                               | 110      | 240                    | 55       | Petition to revive - unavoidable   |                         |
| 141                               | 1,280    | 241                    | 640      | Petition to revive - unintentional   |                         |
| 142                               | 1,280    | 242                    | 640      | Utility issue fee (or reissue)   |                         |
| 143                               | 460      | 243                    | 230      | Design issue fee   |                         |
| 144                               | 620      | 244                    | 310      | Plant issue fee  |                         |
| 122                               | 130      | 122                    | 130      | Petitions to the Commissioner  |                         |
| 123                               | 50       | 123                    | 50       | Processing fee under 37 CFR 1.17(q)  |                         |
| 126                               | 180      | 126                    | 180      | Submission of Information Disclosure Stmt                                  |                         |
| 581                               | 40       | 581                    | 40       | Recording each patent assignment per property (times number of properties) |                         |
| 146                               | 740      | 246                    | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |                         |
| 149                               | 740      | 249                    | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |                         |
| 179                               | 740      | 279                    | 370      | Request for Continued Examination (RCE)                                    |                         |
| 169                               | 900      | 169                    | 900      | Request for expedited examination of a design application                  |                         |
| Other fee (specify) _____         |          |                        |          |  |                         |
| *Reduced by Basic Filing Fee Paid |          |                        |          |  | SUBTOTAL (3) (\$ 65.00) |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65.00)

## SUBMITTED BY

|                   |   |                                   |        |           |                |
|-------------------|---|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | James E. Beyer  | Registration No. (Attorney/Agent) | 39,564 | Telephone | (937) 223-2050 |
| Signature         |  |                                   |        | Date      | March 14, 2002 |

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/034,852         | 12/28/2001          | A. K. Rai             | UNI 0041 PA            |

CONFIRMATION NO. 9645

## FORMALITIES LETTER



\*OC00000007394744\*

Killworth, Gottman, Hagan & Schaeff, L.L.P.  
 Suite 500  
 One Dayton Centre  
 Dayton, OH 45402-2023

X 3

Date Mailed: 01/30/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$351.
  - \$225 for 25 total claims over 20.
  - \$126 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 786.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/27/2002 BABRAHA1 00000120 10034852

|           |           |
|-----------|-----------|
| 01 FC:201 | 370.00 OP |
| 02 FC:205 | 65.00 OP  |
| 03 FC:203 | 225.00 OP |
| 04 FC:202 | 126.00 OP |